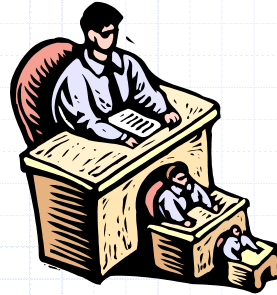


Presentation for use with the textbook **Data Structures and Algorithms in Java, 6th edition**, by M. T. Goodrich, R. Tamassia, and M. H. Goldwasser, Wiley, 2014

Recursion



The Recursion Pattern

- **Recursion**: when a method calls itself
- Classic example – the factorial function:

$$n! = 1 \cdot 2 \cdot 3 \cdot \dots \cdot (n-1) \cdot n$$
- Recursive definition:

$$f(n) = \begin{cases} 1 & \text{if } n = 0 \\ n \cdot f(n-1) & \text{else} \end{cases}$$

- As a Java method:

```

1 public static int factorial(int n) throws IllegalArgumentException {
2     if (n < 0)
3         throw new IllegalArgumentException(); // argument must be nonnegative
4     else if (n == 0)
5         return 1; // base case
6     else
7         return n * factorial(n-1); // recursive case
8 }

```

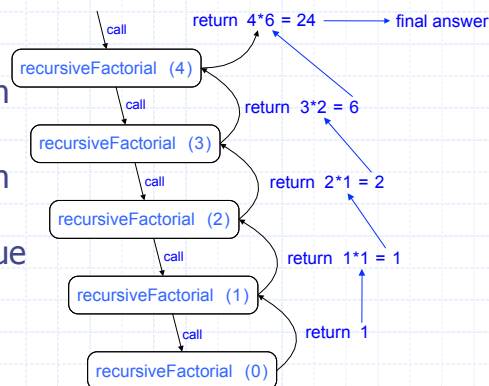
Content of a Recursive Method

- **Base case(s)**
 - Values of the input variables for which we perform no recursive calls are called **base cases** (there should be at least one base case).
 - Every possible chain of recursive calls **must** eventually reach a base case.
- **Recursive calls**
 - Calls to the current method.
 - Each recursive call should be defined so that it makes progress towards a base case.

Visualizing Recursion

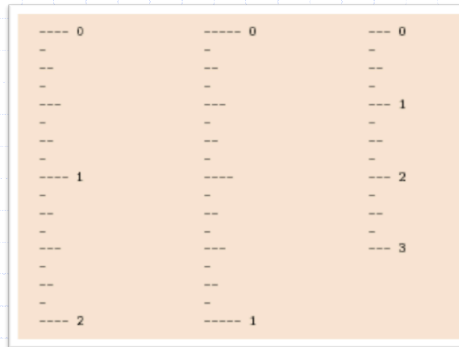
- **Recursion trace**
- **Example**

- A box for each recursive call
- An arrow from each caller to callee
- An arrow from each callee to caller showing return value



Example: English Ruler

- Print the ticks and numbers like an English ruler:



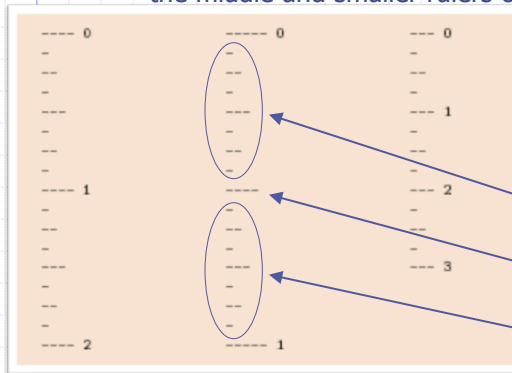
Slide by Matt Stallmann included with permission.

Using Recursion

`drawInterval(length)`

Input: length of a 'tick'

Output: ruler with tick of the given length in the middle and smaller rulers on either side



`drawInterval(length)`

if (length > 0) then

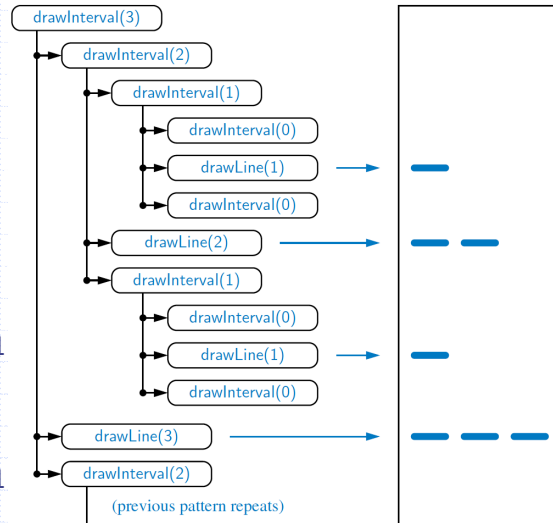
`drawInterval (length - 1)`

draw line of the given length

`drawInterval (length - 1)`

Recursive Drawing Method

- The drawing method is based on the following recursive definition
- An interval with a central tick length $L \geq 1$ consists of:
 - An interval with a central tick length $L-1$
 - A single tick of length L
 - An interval with a central tick length $L-1$



Recursion

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A Recursive Method for Drawing Ticks on an English Ruler

```

1  /** Draws an English ruler for the given number of inches and major tick length. */
2  public static void drawRuler(int nInches, int majorLength) {
3      drawLine(majorLength, 0);           // draw inch 0 line and label
4      for (int j = 1; j <= nInches; j++) {
5          drawInterval(majorLength - 1); // draw interior ticks for inch
6          drawLine(majorLength, j);      // draw inch j line and label
7      }
8  }
9  private static void drawInterval(int centralLength) {
10     if (centralLength >= 1) {           // otherwise, do nothing
11         drawInterval(centralLength - 1); // recursively draw top interval
12         drawLine(centralLength);        // draw center tick line (without label)
13         drawInterval(centralLength - 1); // recursively draw bottom interval
14     }
15 }
16 private static void drawLine(int tickLength, int tickLabel) {
17     for (int j = 0; j < tickLength; j++)
18         System.out.print("-");
19     if (tickLabel >= 0)
20         System.out.print(" " + tickLabel);
21     System.out.print("\n");
22 }
23 /** Draws a line with the given tick length (but no label). */
24 private static void drawLine(int tickLength) {
25     drawLine(tickLength, -1);
26 }
    
```

Note the two recursive calls

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Recursion

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Binary Search

Search for an integer in an ordered list

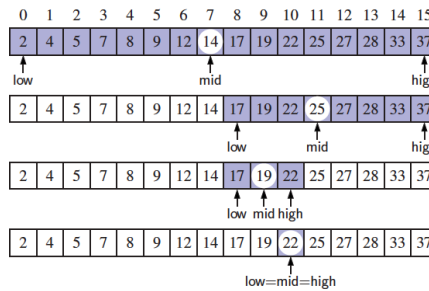
```

1  /**
2  * Returns true if the target value is found in the indicated portion of the data array.
3  * This search only considers the array portion from data[low] to data[high] inclusive.
4  */
5  public static boolean binarySearch(int[] data, int target, int low, int high) {
6      if (low > high)
7          return false; // interval empty; no match
8      else {
9          int mid = (low + high) / 2;
10         if (target == data[mid])
11             return true; // found a match
12         else if (target < data[mid])
13             return binarySearch(data, target, low, mid - 1); // recur left of the middle
14         else
15             return binarySearch(data, target, mid + 1, high); // recur right of the middle
16     }
17 }

```

Visualizing Binary Search

- We consider three cases:
 - If the target equals data[mid], then we have found the target.
 - If target < data[mid], then we recur on the first half of the sequence.
 - If target > data[mid], then we recur on the second half of the sequence.



Analyzing Binary Search

- Runs in $O(\log n)$ time.
 - The remaining portion of the list is of size $high - low + 1$
 - After one comparison, this becomes one of the following:

$$(mid - 1) - low + 1 = \left\lfloor \frac{low + high}{2} \right\rfloor - low \leq \frac{high - low + 1}{2}$$

$$high - (mid + 1) + 1 = high - \left\lfloor \frac{low + high}{2} \right\rfloor \leq \frac{high - low + 1}{2}$$

- Thus, each recursive call divides the search region in half; hence, there can be at most $\log n$ levels

Linear Recursion

- **Test for base cases**
 - Begin by testing for a set of base cases (there should be at least one).
 - Every possible chain of recursive calls **must** eventually reach a base case, and the handling of each base case should not use recursion.
- **Recur once**
 - Perform a single recursive call
 - This step may have a test that decides which of several possible recursive calls to make, but it should ultimately make just one of these calls
 - Define each possible recursive call so that it makes progress towards a base case.

Example of Linear Recursion

Algorithm `linearSum(A, n)`:

Input:

Array, `A`, of integers
Integer `n` such that
 $0 \leq n \leq |A|$

Output:

Sum of the first `n`
integers in `A`

if `n = 0` then

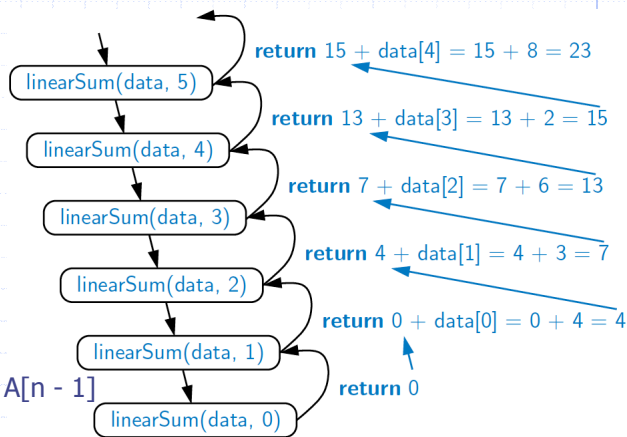
return 0

else

return

`linearSum(A, n - 1) + A[n - 1]`

Recursion trace of `linearSum(data, 5)`
called on array `data = [4, 3, 6, 2, 8]`



Reversing an Array

Algorithm `reverseArray(A, i, j)`:

Input: An array `A` and nonnegative integer
indices `i` and `j`

Output: The reversal of the elements in `A`
starting at index `i` and ending at

if `i < j` then

Swap `A[i]` and `A[j]`

`reverseArray(A, i + 1, j - 1)`

return

Defining Arguments for Recursion

- In creating recursive methods, it is important to define the methods in ways that facilitate recursion.
- This sometimes requires we define additional parameters that are passed to the method.
- For example, we defined the array reversal method as `reverseArray(A, i, j)`, not `reverseArray(A)`

```

1  /** Reverses the contents of subarray data[low] through data[high] inclusive. */
2  public static void reverseArray(int[] data, int low, int high) {
3      if (low < high) { // if at least two elements in subarray
4          int temp = data[low]; // swap data[low] and data[high]
5          data[low] = data[high];
6          data[high] = temp;
7          reverseArray(data, low + 1, high - 1); // recur on the rest
8      }
9  }

```

Computing Powers

- The power function, $p(x,n)=x^n$, can be defined recursively:

$$p(x, n) = \begin{cases} 1 & \text{if } n = 0 \\ x \cdot p(x, n-1) & \text{else} \end{cases}$$

- This leads to an power function that runs in $O(n)$ time (for we make n recursive calls)
- We can do better than this, however

Recursive Squaring

- We can derive a more efficient linearly recursive algorithm by using repeated squaring:

$$p(x, n) = \begin{cases} 1 & \text{if } x = 0 \\ x \cdot p(x, (n-1)/2)^2 & \text{if } x > 0 \text{ is odd} \\ p(x, n/2)^2 & \text{if } x > 0 \text{ is even} \end{cases}$$

- For example,

$$2^4 = 2^{(4/2)^2} = (2^{4/2})^2 = (2^2)^2 = 4^2 = 16$$

$$2^5 = 2^{1+(4/2)^2} = 2(2^{4/2})^2 = 2(2^2)^2 = 2(4^2) = 32$$

$$2^6 = 2^{(6/2)^2} = (2^{6/2})^2 = (2^3)^2 = 8^2 = 64$$

$$2^7 = 2^{1+(6/2)^2} = 2(2^{6/2})^2 = 2(2^3)^2 = 2(8^2) = 128$$

Recursive Squaring Method

Algorithm `Power(x, n)`:

Input: A number x and integer $n = 0$

Output: The value x^n

if $n = 0$ **then**

return 1

if n is odd **then**

$y = \text{Power}(x, (n - 1) / 2)$

return $x \cdot y \cdot y$

else

$y = \text{Power}(x, n / 2)$

return $y \cdot y$

Analysis

Algorithm `Power(x, n)`:

Input: A number x and integer $n = 0$

Output: The value x^n

if $n = 0$ **then**

return 1

if n is odd **then**

$y = \text{Power}(x, (n - 1) / 2)$

return $x \cdot y \cdot y$

else

$y = \text{Power}(x, n / 2)$

return $y \cdot y$

Each time we make a recursive call we halve the value of n ; hence, we make $\log n$ recursive calls. That is, this method runs in $O(\log n)$ time.

It is important that we use a variable twice here rather than calling the method twice.

Tail Recursion

- Tail recursion occurs when a linearly recursive method makes its recursive call as its last step.
- The array reversal method is an example.
- Such methods can be easily converted to non-recursive methods (which saves on some resources).
- Example:

Algorithm `IterativeReverseArray(A, i, j)`:

Input: An array A and nonnegative integer indices i and j

Output: The reversal of the elements in A starting at index i and ending at j

while $i < j$ **do**

 Swap $A[i]$ and $A[j]$

$i = i + 1$

$j = j - 1$

return

Binary Recursion

- Binary recursion occurs whenever there are **two** recursive calls for each non-base case.
- Example from before: the **drawInterval** method for drawing ticks on an English ruler.



Another Binary Recursive Method

- Problem: add all the numbers in an integer array A:

Algorithm BinarySum(A, i, n):

Input: An array A and integers i and n

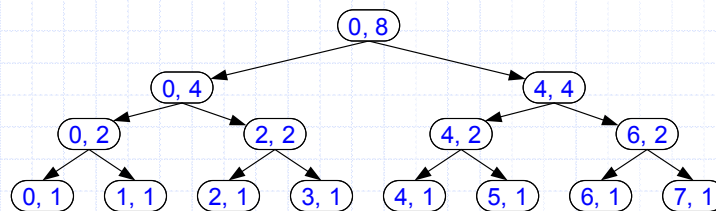
Output: The sum of the n integers in A starting at index i

if n = 1 **then**

return A[i]

return BinarySum(A, i, n/ 2) + BinarySum(A, i + n/ 2, n/ 2)

- Example trace:



Computing Fibonacci Numbers

- Fibonacci numbers are defined recursively:

$$F_0 = 0$$

$$F_1 = 1$$

$$F_i = F_{i-1} + F_{i-2} \quad \text{for } i > 1.$$

- Recursive algorithm (first attempt):

Algorithm BinaryFib(k):

Input: Nonnegative integer k

Output: The k th Fibonacci number F_k

if $k = 1$ **then**

return k

else

return BinaryFib($k - 1$) + BinaryFib($k - 2$)

Analysis

- Let n_k be the number of recursive calls by BinaryFib(k)

- $n_0 = 1$

- $n_1 = 1$

- $n_2 = n_1 + n_0 + 1 = 1 + 1 + 1 = 3$

- $n_3 = n_2 + n_1 + 1 = 3 + 1 + 1 = 5$

- $n_4 = n_3 + n_2 + 1 = 5 + 3 + 1 = 9$

- $n_5 = n_4 + n_3 + 1 = 9 + 5 + 1 = 15$

- $n_6 = n_5 + n_4 + 1 = 15 + 9 + 1 = 25$

- $n_7 = n_6 + n_5 + 1 = 25 + 15 + 1 = 41$

- $n_8 = n_7 + n_6 + 1 = 41 + 25 + 1 = 67.$

- Note that n_k at least doubles every other time

- That is, $n_k > 2^{k/2}$. It is exponential!

A Better Fibonacci Algorithm

- Use linear recursion instead

Algorithm `LinearFibonacci(k)`:

Input: A nonnegative integer k

Output: Pair of Fibonacci numbers (F_k, F_{k-1})

if $k = 1$ **then**

return $(k, 0)$

else

$(i, j) = \text{LinearFibonacci}(k - 1)$

return $(i + j, i)$

- `LinearFibonacci` makes $k-1$ recursive calls

Multiple Recursion

- Motivating example:

- summation puzzles

- ◆ $pot + pan = bib$

- ◆ $dog + cat = pig$

- ◆ $boy + girl = baby$

- Multiple recursion:

- makes potentially many recursive calls
- not just one or two

Algorithm for Multiple Recursion

Algorithm `PuzzleSolve(k,S,U)`:

Input: Integer k , sequence S , and set U (universe of elements to test)

Output: Enumeration of all k -length extensions to S using elements in U without repetitions

for all e in U **do**

Remove e from U { e is now being used}

Add e to the end of S

if $k = 1$ **then**

Test whether S is a configuration that solves the puzzle

if S solves the puzzle **then**

return "Solution found: " S

else

`PuzzleSolve`($k - 1, S, U$)

Add e back to U { e is now unused}

Remove e from the end of S

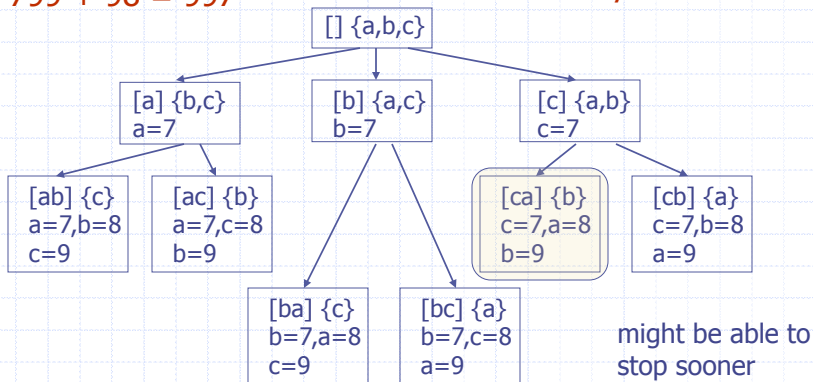
Slide by Matt Stallmann included with permission.

Example

$$cbb + ba = abc$$

$$799 + 98 = 997$$

a, b, c stand for 7,8,9; not necessarily in that order



Visualizing PuzzleSolve

