

Presentation for use with the textbook **Data Structures and Algorithms in Java, 6th edition**, by M. T. Goodrich, R. Tamassia, and M. H. Goldwasser, Wiley, 2014

Lists and Iterators



The `java.util.List` ADT

- The `java.util.List` interface includes the following methods:
 - `size()`: Returns the number of elements in the list.
 - `isEmpty()`: Returns a boolean indicating whether the list is empty.
 - `get(i)`: Returns the element of the list having index *i*; an error condition occurs if *i* is not in range $[0, \text{size}() - 1]$.
 - `set(i, e)`: Replaces the element at index *i* with *e*, and returns the old element that was replaced; an error condition occurs if *i* is not in range $[0, \text{size}() - 1]$.
 - `add(i, e)`: Inserts a new element *e* into the list so that it has index *i*, moving all subsequent elements one index later in the list; an error condition occurs if *i* is not in range $[0, \text{size}()]$.
 - `remove(i)`: Removes and returns the element at index *i*, moving all subsequent elements one index earlier in the list; an error condition occurs if *i* is not in range $[0, \text{size}() - 1]$.

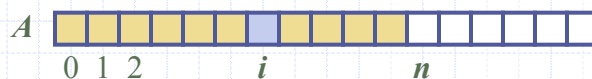
Example

- A sequence of List operations:

Method	Return Value	List Contents
add(0, A)	–	(A)
add(0, B)	–	(B, A)
get(1)	A	(B, A)
set(2, C)	“error”	(B, A)
add(2, C)	–	(B, A, C)
add(4, D)	“error”	(B, A, C)
remove(1)	A	(B, C)
add(1, D)	–	(B, D, C)
add(1, E)	–	(B, E, D, C)
get(4)	“error”	(B, E, D, C)
add(4, F)	–	(B, E, D, C, F)
set(2, G)	D	(B, E, G, C, F)
get(2)	G	(B, E, G, C, F)

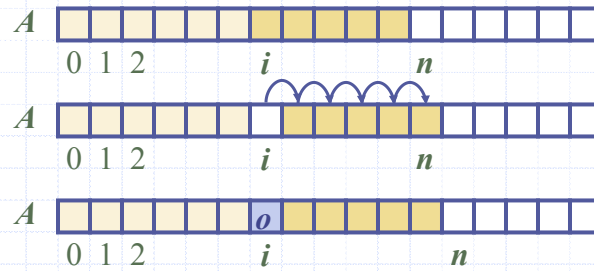
Array Lists

- An obvious choice for implementing the list ADT is to use an array, **A**, where **A[i]** stores (a reference to) the element with index **i**.
- With a representation based on an array **A**, the **get(i)** and **set(i, e)** methods are easy to implement by accessing **A[i]** (assuming **i** is a legitimate index).



Insertion

- In an operation *add*(i, o), we need to make room for the new element by shifting forward the $n - i$ elements $A[i], \dots, A[n - 1]$
- In the worst case ($i = 0$), this takes $O(n)$ time



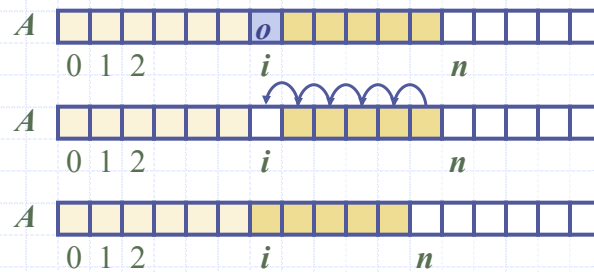
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Element Removal

- In an operation *remove*(i), we need to fill the hole left by the removed element by shifting backward the $n - i - 1$ elements $A[i + 1], \dots, A[n - 1]$
- In the worst case ($i = 0$), this takes $O(n)$ time



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Performance

- In an array-based implementation of a dynamic list:
 - The space used by the data structure is $O(n)$
 - Indexing the element at i takes $O(1)$ time
 - **add** and **remove** run in $O(n)$ time
- In an **add** operation, when the array is full, instead of throwing an exception, we can replace the array with a larger one ...

Java Implementation

```
11 // public methods
12 /** Returns the number of elements in the array list. */
13 public int size() { return size; }
14 /** Returns whether the array list is empty. */
15 public boolean isEmpty() { return size == 0; }
16 /** Returns (but does not remove) the element at index i. */
17 public E get(int i) throws IndexOutOfBoundsException {
18     checkIndex(i, size);
19     return data[i];
20 }
21 /** Replaces the element at index i with e, and returns the replaced element. */
22 public E set(int i, E e) throws IndexOutOfBoundsException {
23     checkIndex(i, size);
24     E temp = data[i];
25     data[i] = e;
26     return temp;
27 }
```

Java Implementation, 2

```

28  /** Inserts element e to be at index i, shifting all subsequent elements later. */
29  public void add(int i, E e) throws IndexOutOfBoundsException,
30         IllegalStateException {
31      checkIndex(i, size + 1);
32      if (size == data.length) // not enough capacity
33          throw new IllegalStateException("Array is full");
34      for (int k=size-1; k >= i; k--) // start by shifting rightmost
35          data[k+1] = data[k];
36      data[i] = e; // ready to place the new element
37      size++;
38  }
39  /** Removes/returns the element at index i, shifting subsequent elements earlier. */
40  public E remove(int i) throws IndexOutOfBoundsException {
41      checkIndex(i, size);
42      E temp = data[i];
43      for (int k=i; k < size-1; k++) // shift elements to fill hole
44          data[k] = data[k+1];
45      data[size-1] = null; // help garbage collection
46      size--;
47      return temp;
48  }
49  // utility method
50  /** Checks whether the given index is in the range [0, n-1]. */
51  protected void checkIndex(int i, int n) throws IndexOutOfBoundsException {
52      if (i < 0 || i >= n)
53          throw new IndexOutOfBoundsException("Illegal index: " + i);
54  }
55  }

```

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Growable Array-based Array List

- Let **push(o)** be the operation that adds element **o** at the end of the list
- When the array is full, we replace the array with a larger one
- How large should the new array be?
 - **Incremental strategy:** increase the size by a constant c
 - **Doubling strategy:** double the size

```

Algorithm push(o)
if  $t = S.length - 1$  then
     $A \leftarrow$  new array of
        size ...
    for  $i \leftarrow 0$  to  $n-1$  do
         $A[i] \leftarrow S[i]$ 
     $S \leftarrow A$ 
     $n \leftarrow n + 1$ 
     $S[n-1] \leftarrow o$ 

```

Comparison of the Strategies

- We compare the incremental strategy and the doubling strategy by analyzing the total time $T(n)$ needed to perform a series of n push operations
- We assume that we start with an empty list represented by a growable array of size 1
- We call **amortized time** of a push operation the average time taken by a push operation over the series of operations, i.e., $T(n)/n$

Incremental Strategy Analysis

- Over n push operations, we replace the array $k = n/c$ times, where c is a constant
- The total time $T(n)$ of a series of n push operations is proportional to

$$\begin{aligned} n + c + 2c + 3c + 4c + \dots + kc &= \\ n + c(1 + 2 + 3 + \dots + k) &= \\ n + ck(k + 1)/2 & \end{aligned}$$

- Since c is a constant, $T(n)$ is $O(n + k^2)$, i.e., $O(n^2)$
- Thus, the amortized time of a push operation is $O(n)$

Doubling Strategy Analysis

- We replace the array $k = \log_2 n$ times
- The total time $T(n)$ of a series of n push operations is proportional to

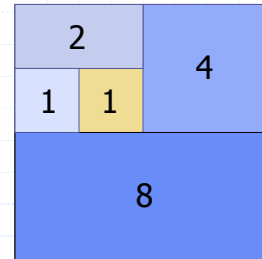
$$n + 1 + 2 + 4 + 8 + \dots + 2^k =$$

$$n + 2^{k+1} - 1 =$$

$$3n - 1$$

- $T(n)$ is $O(n)$
- The amortized time of a push operation is $O(1)$

geometric series



Positional Lists

- To provide for a general abstraction of a sequence of elements with the ability to identify the location of an element, we define a **positional list** ADT.
- A position acts as a marker or token within the broader positional list.
- A position p is unaffected by changes elsewhere in a list; the only way in which a position becomes invalid is if an explicit command is issued to delete it.
- A position instance is a simple object, supporting only the following method:
 - $P.getElement()$: Return the element stored at position p .

Positional List ADT

□ Accessor methods:

- `first()`: Returns the position of the first element of L (or null if empty).
- `last()`: Returns the position of the last element of L (or null if empty).
- `before(p)`: Returns the position of L immediately before position p (or null if p is the first position).
- `after(p)`: Returns the position of L immediately after position p (or null if p is the last position).
- `isEmpty()`: Returns true if list L does not contain any elements.
- `size()`: Returns the number of elements in list L .

Positional List ADT, 2

□ Update methods:

- `addFirst(e)`: Inserts a new element e at the front of the list, returning the position of the new element.
- `addLast(e)`: Inserts a new element e at the back of the list, returning the position of the new element.
- `addBefore(p, e)`: Inserts a new element e in the list, just before position p , returning the position of the new element.
- `addAfter(p, e)`: Inserts a new element e in the list, just after position p , returning the position of the new element.
- `set(p, e)`: Replaces the element at position p with element e , returning the element formerly at position p .
- `remove(p)`: Removes and returns the element at position p in the list, invalidating the position.

Example

- A sequence of Positional List operations:

Method	Return Value	List Contents
addLast(8)	p	(8 p)
first()	p	(8 p)
addAfter(p , 5)	q	(8 p , 5 q)
before(q)	p	(8 p , 5 q)
addBefore(q , 3)	r	(8 p , 3 r , 5 q)
r .getElement()	3	(8 p , 3 r , 5 q)
after(p)	r	(8 p , 3 r , 5 q)
before(p)	null	(8 p , 3 r , 5 q)
addFirst(9)	s	(9 s , 8 p , 3 r , 5 q)
remove(last())	5	(9 s , 8 p , 3 r)
set(p , 7)	8	(9 s , 7 p , 3 r)
remove(q)	“error”	(9 s , 7 p , 3 r)

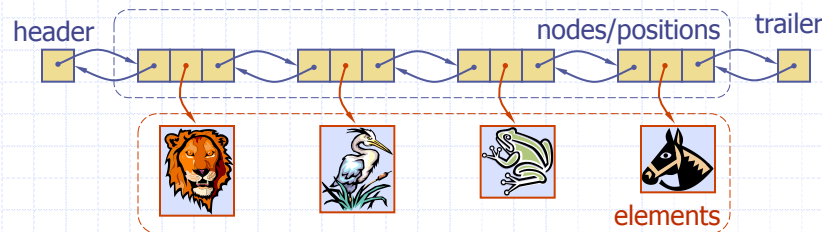
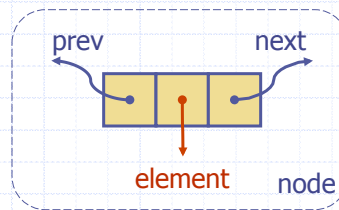
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Positional List Implementation

- The most natural way to implement a positional list is with a doubly-linked list.



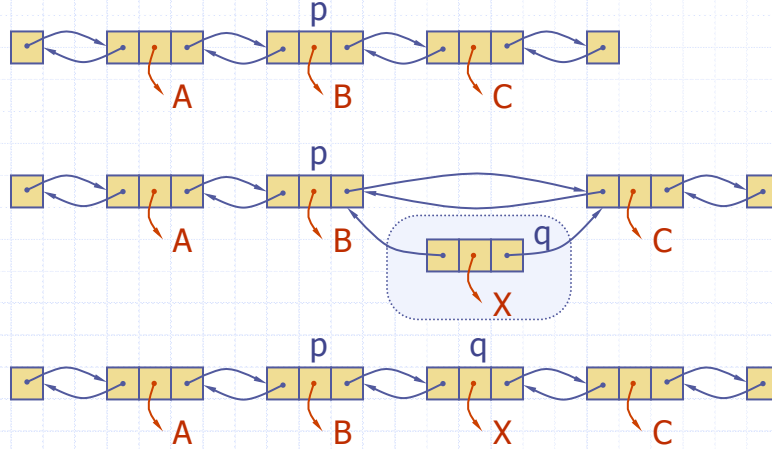
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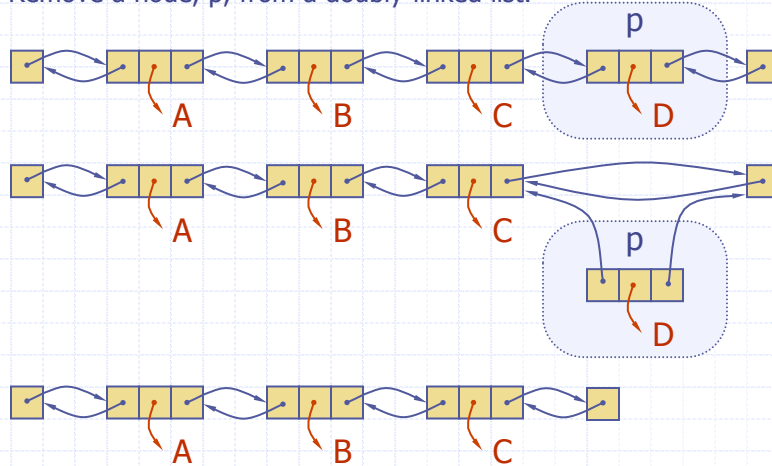
Insertion

- Insert a new node, q , between p and its successor.



Deletion

- Remove a node, p , from a doubly-linked list.



Iterators

- An iterator is a software design pattern that abstracts the process of scanning through a sequence of elements, one element at a time.

`hasNext()`: Returns true if there is at least one additional element in the sequence, and false otherwise.

`next()`: Returns the next element in the sequence.

The Iterable Interface

- Java defines a parameterized interface, named **Iterable**, that includes the following single method:
 - `iterator()`: Returns an iterator of the elements in the collection.
- An instance of a typical collection class in Java, such as an `ArrayList`, is iterable (but not itself an iterator); it produces an iterator for its collection as the return value of the `iterator()` method.
- Each call to `iterator()` returns a new iterator instance, thereby allowing multiple (even simultaneous) traversals of a collection.

The for-each Loop

- Java's `Iterable` class also plays a fundamental role in support of the "for-each" loop syntax:

```
for (ElementType variable : collection) {  
    loopBody // may refer to "variable"  
}
```

is equivalent to:

```
Iterator<ElementType> iter = collection.iterator();  
while (iter.hasNext()) {  
    ElementType variable = iter.next();  
    loopBody // may refer to "variable"  
}
```